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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

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SHEET 1 of 1

## COMPLETE IF KNOWN

Application Number	09/855,537
Confirmation Number	1086
Filing Date	May 15, 2001
First Named Inventor	Hirofaka Uchiyama, et al.
Group Art Unit	1615
Examiner Name	Lakshmi Sarada Channavajjala
Attorney Docket Number	8085

## U. S. PATENT DOCUMENTS

EXAMINER INITIALS*	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	1	US-5,534,165	07-09-1996	Pilosof, et al.	
	2	US-5,578,563	11-26-1996	Trinh, et al.	
	3	US-5,593,670	01-14-1997	Trinh, et al.	
	4	US-5,668,097	09-16-1997	Trinh, et al.	
	5	US-5,714,137	02-03-1998	Trinh, et al.	
	6	US-5,955,093	09-21-1999	Woo, et al.	
	7	US-5,968,404	10-19-1999	Trinh, et al.	
	8	US-6,001,343	12-14-1999	Trinh, et al.	
	9	US-6,033,679	03-07-2000	Woo, et al.	
	10	US-6,106,738	08-22-2000	Woo, et al.	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS*	Cite No. <sup>1</sup>	FOREIGN PATENT DOCUMENT Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	1	WO 96/04939	02-22-1996			
	2	WO 96/04940	02-22-1996			

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIALS*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>
	1	Authors: K. JAMES SASAKI, SHERRIL D. CHRISTIAN, and EDWIN E. TUCKER; Study of the Stability of 1:1 Complexes Between Aliphatic Alcohols and $\beta$ -Cyclodextrins in Aqueous Solution; Fluid Phase Equilibria, 49; pages 281-289, Elsevier Science Publishers B.V., 1989, The Netherlands	
	2	Authors: LEE D. WILSON, STEPHANIE R. SIDDALL, and RONALD E. VERRALL; A Spectral Displacement Study of the Binding Constants of Cyclodextrin-Hydrocarbon and-Fluorocarbon Surfactant Inclusion Complexes; Can J. Chem. Volume 75, pages 927-933, 1997, Canada	
	3	Authors: MIKHAIL V. REKHARSKY and YOSHIHISA INOUE, Complexation Thermodynamics of Cyclodextrins; American Chemical Society, 1998	

EXAMINER

L. Channavajjala

DATE CONSIDERED

12/6/02


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Entire copies of all co-pending applications (or the portion of the application and claims that caused it to be cited) must be sent with the IDS (see 37 CFR 1.98(a)(2)(iii))



<u>Atty. Docket No.</u>	<u>Serial Number</u>	<u>Inventor(s)</u>	<u>Filing Date</u>
8083	09/855,816	Uchiyama, et al.	May 15, 2001
8084	09/855,440	Uchiyama, et al.	May 15, 2001
8086	09/885,329	Woo, et al.	May 15, 2001

Considered

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12/1/02